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| Category | Sub-category | Specific | Likelihood occurring [3=High1 = low] | Impact if it occurs[3 = high 1 = low] | Response [transfer, treat, tolerate or terminate] | Control Procedure  | Person[s] responsible for action | Date of next reviewFrom |
| **C1.** **Employee legislation risk**  | **Employment laws risk**  | Risk that legislative requirements are not known or complied with  | 1 | 2 | Treat | Regular advice sought from HR  | HT  | Sept 15  |
| Discrimination risk  | 1 | 2 | Treat | See Equal Opps Poilicy  | HT  | Sept 15 |
| Data Protection risk  | 2 | 2 | Treat | Apply Data Protection Policy  | SBM  | Sept 15 |
| Human Rights risk  | 1 | 2 | Treat | See Equal OppS Policy  | HT  | Sept 15 |
| Equal opportunities risk  | 1 | 2 | Treat | Sept 15 |
| Contract risk  | 1 | 2 | Transfer | Service contract to LA  | GB  | Sept 15  |
| **Pension risk**  | Risk that legislative requirements are not known or complied with  | 1 | 2 | Transfer | Service contract to LA  | GB  | Sept 15  |
| **Health and safety risk**  | Risk that legislative requirements are not known or complied with  | 2 | 2 | Treat / Transfer | Apply Health & Safety Policy  | FPGB | Sept 15  |
| **C2. Environmental legislation**  | **UK legislation**  | Risk that legislative requirements are not known or complied with  | 2 | 2 | Treat | Research and consider all relevant legislation where appropriate  | FPGB | Sept 15  |
|  | **EU legislation**  | Risk that legislative requirements are not known or complied with  | 1 | 2 | Treat | Sept 15 |
| **C3.** **Financial reporting risk**  | **Statutory accounts risk**  | Risk that legislative requirements are not known or complied with  | 1 | 2 | Treat / Transfer | Apply FRPP Auditor to advise on all C3 risks  | GB HT FP   | Sept 15  |
| **SORP compliance risk**  | Risk that legislative requirements are not known or complied with  | 1 | 2 | Treat / Transfer | Sept 15  |
| **C4.** **Charities legislation risk** |  | Risk that legislative requirements are not known or complied with  | 1 | 2 | Treat | Provide trainingAuditor to advise | GB  | Sept 15  |
| Risk trustees are not aware of the legislative requirements of being on the Forum  | 1 | 2 | Treat | Requirements listed in *Handbook of Governance*  | CG | Sept 15  |
| **C5.** **Tax legislation risk**  | **VAT / PAYE / NI risk**  | Risk that legislative requirements are not known or complied with  | 1 | 2 | VAT = TreatPAYE, NI = Transfer | See FRPP Service contract with LA Accountants to advise  | GB FP | Sept 15  |
| **C6.** **Data Protection Act risk**  |  | Risk that legislative requirements are not known or complied with  | 1 | 3 | Treat | Apply Data Protection Policy rigorously  | SBM  | Sept 15 |

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| Category | Sub-category | Specific | Likelihood occurring [3=High1 = low] | Impact if it occurs[3 = high 1 = low] | Response [transfer, treat, tolerate or terminate] | Control Procedure  | Person[s] responsible for action | Date of next review |
| **C7.** **Welfare compliance risk**  | **Disability risk**  | Risk that legislative requirements are not known or complied with  | 1 | 2 | Treat | Apply Equal Opportunity Policy rigorouslyService contract with LA | HT  | Sept 15 |
| **Child Protection risk**  | Risk that legislative requirements are not known or complied with  | 1 | 2 | Treat | Apply Safeguarding Policy rigorously  | HT  | Sept 15  |
| **C8.** **Sector compliance risk**  | **Curriculum compliance**  | Risk that legislative requirements are not known or complied with  | 1 | 2 | Treat. | Apply Curriculum Policies  | HT  | Sept 15  |
| **Mental health compliance risk**  | Risk that legislative requirements are not known or complied with  | 1 | 3 | Treat. | Apply Staff Wellbeing Policy consistently  | HT  | Sept 15  |
| **C9.** **Local Authority and Central Government risk**  | **Grant criteria risk**  | Grant terms and conditions are not complied with  | 1 | 2 | Treat | Apply FRPP  | SBM FP | Sept 15  |
| **Licensing risk**  | Risk that legislative requirements are not known or complied with  | 1 | 2 | Treat | Apply ICT Policy and copyright procedures, etc.  | GB HTSBM  | Sept 15  |